

Audit and Standards Committee

Thursday, 30 July 2020

10.00 am

Meeting to be conducted using TEAMS

John Tradewell
Director of Corporate Services
22 July 2020

A G E N D A

1. **Apologies**
2. **Declarations of Interest**
3. **Minutes of the Meeting held on 28 January 2020** (Pages 1 - 4)
4. **Local Government Association - Consultation on Draft Model Code of Conduct for Members** (Pages 5 - 34)
Report of the Director of Corporate Services.
5. **Internal Audit Charter 2020/21** (Pages 35 - 56)
Report of the County Treasurer.
6. **Forward Plan 2020/21** (Pages 57 - 70)
7. **Code of Corporate Governance - Update** (Pages 71 - 92)
Report of the Director of Corporate Services.
8. **Fraud Risks - The Impact of COVID 19.** (Pages 93 - 104)
Presentation of the Director of Corporate Services.
9. **Internal Audit Outturn Report 2019/20** (Pages 105 - 128)
Report of the County Treasurer.
10. **Exclusion of the Public**
The Chairman to move:-

“That the public be excluded from the meeting for the following items of business which involve the likely disclosure of exempt information as defined in the paragraphs of Part 1 of Schedule 12A (as amended) of the Local Government Act 1972 as indicated below”.

PART TWO
(reports in this section are exempt)

11. **Exempt Minutes of the Meeting held on 28 January 2020** (Pages 129 - 130)
12. **SEND Joint Inspection - Final Audit Report 2019/20** (Pages 131 - 152)
Report of the County Treasurer.
13. **SEND Transformation - Governance: Decision Making Groups - Final Audit Report 2019/20** (Pages 153 - 186)
Report of the County Treasurer.
14. **SEND Transformation - Governance - Local Hubs - Final Audit Report 2019/20** (Pages 187 - 202)
Report of the County Treasurer.
15. **Sales 2 Cash Including Debt Recovery Function and Debt Management - Final Audit Report 2019/20** (Pages 203 - 232)
Report of the County Treasurer.
16. **People Strategy - Final Audit Report 2019/20** (Pages 233 - 242)
Report of the County Treasurer.
17. **Children and Families System Transformation Place Based Approach Final Audit Report 2019-20** (Pages 243 - 256)
Report of the County Treasurer.
18. **Ofsted Improvement Plan - Final Audit Report 2019/20** (Pages 257 - 274)
Report of the County Treasurer.
19. **Cyber Security Strategy Review - Final Audit Report 2019/20** (Pages 275 - 286)
Report of the County Treasurer.
20. **Care Director - Citizen Portal - Final Audit Report 2019/20** (Pages 287 - 298)
Report of the County Treasurer.

21. **Internal Audit Outturn Report 2019/20 - Counter Fraud & Corruption Work Appendix 2** (Pages 299 - 342)

Report of the County Treasurer.

Membership

Derek Davis, OBE	Paul Northcott
Martyn Tittley (Chairman)	Susan Woodward
Colin Greatorex	Alastair Little
Carolyn Trowbridge (Vice-Chairman)	Ann Edgeller
Ross Ward	David Williams
Bernard Williams	David Brookes
Victoria Wilson	Richard Ford
Jill Hood	